

SUMP-PLUS



Developing Transition Pathways towards Sustainable Mobility in European cities by 2050

Introduction to the Carbon Policy Analysis Support Tool.

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1. Brief introduction to the Transition Pathway development process

A Sustainable Urban Mobility Plan (SUMP) is a strategic plan designed to satisfy the mobility needs of people and businesses in cities and their surroundings for a better quality of life. It builds on existing planning practices and takes due consideration of integration, participation, and evaluation principles.

Since the publication of the SUMP concept in 2013, the process of developing and implementing a Sustainable Urban Mobility Plan has been applied in many urban areas across Europe (and worldwide). Detailed guidance to develop and deliver SUMPs exists (<u>SUMP Online Guidelines | Eltis</u>) for cities to follow. The steps in this process are illustrated by the "SUMP cycle" shown in Figure 1.





While the SUMP process is now considered the de facto urban transport planning concept for all European urban areas, an identified shortcoming highlighted through its application by cities is that it has a planning horizon looking forward only 5 to 10 years. This is amplified by the emerging climate targets which cities are being required to adhere to, which typically look forward 30 years to 2050. Hence cities need to make policy and planning decisions and that align with these longer-term goals. While it is carbon reduction that's driving this long-term view, other key policy objectives should be addressed, at the same time – not least to avoid introducing carbon-reduction measures that make other things worse.

A second and related issue is that climate change is a multi-sectorial problem, but links between mobility and other sectors that generate mobility demand (e.g., education, health, tourism, and spatial planning) or impact on transport carbon emissions (e.g., energy sector) are frequently underdeveloped. To address long term carbon reduction targets and achieve sustainable mobility by reducing transport related carbon emissions generated or affected by decisions and actions across these sectors will require stronger cross-sector coordination and better alignment of visions, targets and high-level policy making between sectors.

One aspect of the SUMP PLUS project addressing these gaps in the current SUMP guidance is through the provision of additional guidance on developing TRANSITION PATHWAYS.

TRANSITION PATHWAYS extend the strategic policy planning horizon from 10 years up to 30 years into the future to achieve long term city visions, including carbon reduction targets (e.g. net-zero carbon by 2050). The transition pathway also focusses on the governance of transitions and managing how actors across sectors can come together to steer different systems towards sustainability.

Figure 2 illustrates how the 'Transition pathway strategic policy planning' relate to and support the existing SUMP guidance. Every 5 to 10 years, cities are required to produce a new SUMP which includes the implementation strategies for an updated set of measures and reforms to be delivered. At each new SUMP release, the updated measures and reforms need to steer the city towards its long-term vision, including carbon reduction targets such as net zero by 2050. The **SUMP PLUS transition pathway** guidance helps cities identify the policies and their timings needed to achieve their ambitious long-term targets and provides details on the necessary governance reforms needed to support and enable these policies at each new SUMP release. This informs the measure selection in the development of a cities SUMP steering it towards a sustainable future that better aligns with long term targets and future city visions.



Figure 2: Illustration of how the SUMP PLUS 'Transition pathway strategic policy planning' relates to and supports the existing SUMP guidance

1.1 The steps in the Transition Pathway process

Traditionally, policy decisions and investments are largely informed by model forecasts of future travel demand based on extension of current trends ('predict and provide'). For example, forecasts seek to determine: how much road capacity is needed? what level of rail capacity do we need to provide? Here uncertainty in forecasting is 'a problem', as it becomes uncertain as to what level of capacity to provide. This approach also fails to address the radical change required to deliver ambitious climate targets. The Transition Pathway approach starts with a much broader city vision that embraces mobility and the public realm and includes climate change targets. Here the aim of modelling is to identify policy packages that will deliver desired outcomes that are often radically different to the trend, that may be phased over time; and uses uncertainty to 'stress test' packages to make them as robust as possible under different futures ('Vision & Validate'). This, in effect, turns the modelling process 'on its head' by starting with the desired future vision and 'backcasting' to identify policies and reforms needed to reach the desired future, rather than forecasting from the current situation.

Developing a Transition Pathway is a Participatory process – it involves active engagement of a broad range of city stakeholders, including: local political leaders, public sector, private sector and civil society organisations, as well as citizen engagement. It is developed through a series of workshops involving relevant stakeholders at each step. Figure 3 presents the steps involved in the Transition Pathway development with each briefly summarised below:

- The first step in the process is to establish an appropriate team for developing the Transition Pathway (TP). The TP is developed at the scale of the Functional Urban Area (FUA) and the team assembled should reflect this. This process should be led by a local level of government including representatives of different municipal departments and include representatives from all other municipalities within the FUA. This forms the core TP team.
- Step 2 in the process comprises of several workshops to review and shape the city vision objectives and targets. This should be a consultative exercise involving many stakeholders, including: national and regional authorities, local political leaders, public sector, private sector and civil society organisations (this set of stakeholders constitutes the Steering TP team).
 - SUMP PLUS tools such as the City Integrator can be applied to ensure involvement of other sectors that impact on mobility demands or are impacted by mobility policies. The workshops should be led by the core TP team. The vision and targets will be informed by any existing local visions or development strategies up to 2050 - integrating visions from existing mobility, spatial, climate and innovation strategies, city masterplans and decarbonisation strategies from other sectors. National long-term strategies (2050), national climate and energy plans (2021-2030) should also be consulted.
 - Consideration should also be given to engaging with citizens when deciding on the vision and targets which should be adopted. The SUMP PLUS Citizen Engagement Platform tool can be utilised to effectively engage with citizens.
 - The outcome from these workshops will be to identify the main mobility related objectives and agree on quantifiable targets for these where appropriate. GHG emissions reductions in the future city up to 2050 with intermediate milestones (e.g., in 2030 and 2040) should be aligned between sectors and different levels of government.
- Step 3 identifies the mix of policies that will achieve the objectives and targets decided on in Step 2. This comprises of a set of workshops, convened through the **Mobility Forum**, to decide on the mix of policies and policy strategies that are required to meet the long-term city vision

objectives related to urban mobility. The city objectives will encompass social, economic and environmental dimensions and all need to be considered when deciding and shaping the direction for future mobility policy. Climate change and the need for dramatic carbon reduction from transport related activity is a particular aspect that has become increasingly important in policy decision making in recent years. However, cities often lack the knowledge and expertise to understand how policy decisions impact on carbon emissions. As a result, a carbon policy analysis support tool has been developed by the SUMP PLUS project to assist cities in identifying a suitable mix of policy strategies, and their timings, that will achieve carbon targets while also respecting and supporting the other objectives that cities are looking to deliver. The final decision on the policy mix that considers all objectives should be decided through the Mobility Forum and should take account of **citizen** provided input/vote on the strategy and possible pathway options collected through the Citizen Engagement Platform tools.





- Step 4 involves identifying enabling actions and mapping the governance reform necessary to deliver the chosen policies effectively in the identified timeframes. Utilising the SUMP PLUS city integrator tool, a workshop is convened in which the policy pathways output from Step 3 will be assessed against the current policy capacity scenario (including current governance arrangement and delivery mechanisms in established transport planning tools such as the SUMP) to produce the long-term changes needed and a possible high-level timeline for those changes to be applied. Where policy selections are deemed to be impossible to deliver, due to structural governance constraints, then it becomes necessary to revisit the policy choices in Step 3. If more than one pathway is identified in Step 3 then this may reduce this risk and the need for repeating Step 3.
- Step 5 identifies the necessary timings and sequencing of reforms needed to deliver the policy mix. Specifically, the governance reforms feasible to be implemented in the next 5-10 years are identified for input to the next SUMP. Governance reforms that require more significant change to current governance capacities and will take longer to implement are also identified. Associated capacity building and lobbying actions needed to commence in next 5-10 years should be highlighted. After this stage, if the required Governance reforms related to policy mix options are still deemed to be impossible, even accounting for long-term Governance reforms, then it becomes necessary to reconsider the objectives/targets identified in Step 2.

The remainder of this report focusses on the Step 3 'carbon policy analysis support tool' that has been developed by the SUMP PLUS project to assist small and medium sized cities in understanding the impacts of different policy strategies in reducing carbon emissions over a 30-year timespan. Section 2 presents an introduction to the tool while Section 3 provides a user guide for the tool.

2. Introduction to the Carbon Policy Analysis Support Tool

The Carbon Policy Analysis Support Tool has been developed in the SUMP PLUS project to provide cities with better intelligence on the impacts of different mobility policies on carbon emissions. This allows backcasting from a future vision of defined carbon reduction targets by highlighting the high-level policy mix that will achieve the target. A "high-level policy mix" means that policies are defined at a general level to form a package that is complementary overall, rather than defined in terms of specific measures (as per the SUMP).

The high-level policy mix for carbon reduction includes 3 main policy areas based on the A-S-I (Avoid, Shift, Improve) framework. Inspired by the principles of sustainability, the A-S-I approach structures policy strategies focusing on the mobility needs of people instead of car infrastructure. This approach is appropriate for cities seeking to achieve significant GHG emission reductions, reduced energy consumption, less congestion, with the final objective to create more liveable cities.

Within their transition pathway, cities need to decide how much emphasis to place on which policy area (Avoid, Shift, and Improve) to achieve 2030 and 2050 carbon reduction targets. This is not about providing technical guidance on emissions modelling related to a particular measure in a specific city. Instead, we are showcasing the range of contributions to 2030 and 2050 carbon reduction targets estimated for broad application of Avoid, Shift and Improve policies. From this, cities can make more

informed decisions on the policy mix most appropriate to their circumstances at different points in time (up to 2050).

Fundamentally there are 2 factors that influence carbon emissions from transport. These are the vehicle distance travelled and the amount of carbon emitted from travelling a km defined by the fuel efficiency and carbon intensity of the vehicle used. Reducing carbon requires reducing vehicle distance travelled by Avoiding the need to travel and by Shifting mode of travel from car to more sustainable alternatives, and/or Improving engine efficiency/carbon intensity of fuel so that each kilometre of travel emits less carbon.



Within each policy area are a small number of key policy strategies that impact on carbon reduction.



d. Improving energy efficiency of electric batteries.

For each of the above strategies, a range of application circumstances have been identified that significantly affect the level of carbon emissions resulting from implementing any given mix of the avoid, shift or improve policies.

These include:

- i) the current car driver mode share by trip purpose,
- ii) the relative trip distance per trip purpose in different types of area,
- iii) the share of journeys by distance band in different area types,
- iv) the current proportion of electricity generation from fossil fuels compared to renewable/nuclear sources
- v) the current fuel efficiency of conventional vehicles on the road
- vi) the current battery efficiency of electric vehicles

The Carbon Policy Analysis Support Tool, developed in Excel, allows the user to identify several possible policy mixes that could deliver defined carbon reduction targets. It does this by allowing the user to vary the scale of input/uptake of each policy strategy to better understand the impact this has on overall carbon emissions, how it contributes to carbon reduction targets, and its relative significance in comparison to other policy choices. It then assists the user in undertaking the first three steps in the 4R validation process (see below) while also producing as output information on the necessary policy timings that will assist in the fourth step of assessing whether changes required to institutional capacity, organisational structures, regulations, and financial resources are realistic.

4R Validation Process

To identify a **preferred policy mix** requires assessing the different possible policy mix options through the 4R validation process:

- a) Is the selected policy mix **ROBUST** in the face of alternative futures related to key exogenous factors and trends?
- b) Is the selected policy mix **ROUNDED** when assessed against other key objectives in the city vision? They reinforce rather than conflict with other objectives (in addition to carbon reduction).
- c) Is the selected policy mix **RELEVANT** when assessed against spatial variation within FUA?
- d) Are the selected policy mix and timings **REALISTIC** when assessed against changes required to institutional capacity, organisational structures, regulations, and financial resources?

Possible policy mix(es) Robust Rounded Relevant Realistic Preferred policy mix

The next section forms a user guide for the tool, providing more detail and description on how to use the tool, what inputs are required and what outputs to expect.

3. Carbon Policy Analysis Support Tool - User Guide

The Carbon Policy Analysis Support Tool has been developed in Excel and is available free to use by any city. The intention is to provide cities with indicative quantification of the carbon reduction potential of possible policy choices in order to help inform workshop discussions and decision making when developing their Transition Pathway.

The tool is structured in 4 linked worksheets as follows:

- 1. Identify policy mix that achieves carbon targets
- 2. Stress test policy mix
- 3. Establish timings of policy implementation to achieve intermediate milestones
- 4. Assess policy mix against non-carbon objectives

Having obtained an initial policy mix, the resilience/robustness of the policy choices can be stress tested in the face of alternative futures by exploring the impacts of changes in key external factors.

The timings of policy implementation can also be explored by viewing how changes to this can affect overall (cumulative) carbon emissions as well as contributions to intermediate targets prior to 2050.

Finally, a framework for assessing the impact of carbon focussed policy choices on other objectives is provided to ensure they the carbon focussed policy choices are rounded and reinforce rather than conflict with other non-carbon objectives.

3.1 Worksheet 1: Policy Mix for Carbon Targets

Users of the tool will have already established the carbon reduction targets up to 2050 (and any intermediate targets) for their city within Step 2 of the Transition Pathway process (see Figure 3).

The tool will then help them gauge the potential contribution to carbon reduction from different policies related to avoid, shift and improve strategies. This enables them to make more informed choices on the mix of policy strategies, also taking account of effects from the timings of these, that will deliver the carbon reductions required to meet the targets at key points in time up to 2050.

Users start by entering some basic input data to the tool as explained below.



Next, the user enters the changes in key behaviours and technologies relating to Avoid, Shift, Improve strategies that they consider possible to achieve in their FUA by the year they specify as taking full effect.

Based on the input options described below, for each policy strategy, the user can select from a range of uptake scenarios (%-point increases) or improvement scenarios (% change) that they wish to explore.

The user is also asked to provide the year by which the policy strategy will start to take effect and the year by which it will provide its full effect. The growth in take-up is assumed to be linear between the start and full effect years. This information is used to estimate the carbon emissions reduction in each year between 2021 and 2050.



Users can select a %-point shift from car driver mode share to alternative modes ranging from (low ambition) 5%-point shift up to (high ambition) 20%-point shift by year of full effect.

SHIFT policy

Enter the % point shift from car driver mode share to alternative modes by year of full effect (from 2019 base case)

15%

2021 2040

The user is not asked to state the mode to which the shift will occur. The %-point shift is applied to the proportion of car drivers in each of three distance bands (<3km; 3-8km; >8km) to estimate the car veh-km removed. From this, associated carbon reductions are derived. For trips less than 3km, cities will focus policy towards walk and cycle initiatives, trips between 3km and 8km will prompt a policy focus on cycle and public transport, and trips above 8km will require a policy focus on public transport and carpooling. The results therefore provide carbon reduction impacts resulting from the shift policy for each of these three distance bands to give an understanding of where the greatest carbon reduction benefits can be achieved.



Given the above inputs, a set of waterfall charts are produced showing the % reduction in carbon emissions (compared to 1990 levels) associated with each policy strategy by a given year.

Users can choose between 2030 and 2050 as these are the years where the EU has set key targets for carbon reduction compared to 1990 levels.

- EU 'Fit for 55' strategy aims for 55% reduction in GHG in 2030 compared to 1990 levels
- The EU Green Deal targets a 90% reduction in transport emissions by 2050 compared to 1990 levels

These visualisations present indications of the impacts on carbon reduction from the avoid, shift, improve policy strategies, for the levels of uptake/improvement chosen for each. Users can immediately gauge the extent to which their selections are likely to achieve 2030 or 2050 targets. They can increase or decrease the levels (% point change in uptake/use or % improvement) for the various strategies to understand the significance of the carbon reduction impact this will have.

Figure 4: Waterfall chart showing estimated % carbon reduction in 2050 (compared to 1990 levels) likely to be delivered by each policy strategy for the initial user input



The waterfall diagram in Figure 4 shows the estimated % carbon reduction at a single point in time, in this case 2050 (compared to 1990 levels), likely to be delivered by each policy strategy given the inputs (levels of uptake/improvement) specified by the user. It shows that the Green Deal target of 90% reduction by 2050 will not be achieved with the levels of uptake in avoid, shift and improve strategies selected by the user.

By adjusting the levels of uptake/improvement associated with different strategies, the user can establish what mix of policy strategies and their levels of uptake are needed to achieve the established targets. This allows users to 'backcast' from the future target to the present (2020) to understand the policy mix and levels of uptake/improvement associated with each policy in the mix that achieve the future target.

The waterfall diagram in Figure 5 illustrates the results from increasing the input parameters: 'electric vehicle take-up' from 60% to 100% by 2050 and increasing the '% electricity generated from renewables by 2050' from 70% to 80%. This achieves the Green Deal target of 90% carbon reduction on 1990 levels.





Alternatively, the waterfall chart in Figure 6 shows the results from increasing the % point shift from car driver to alternative modes from 10% to 20% combined with increasing the electric vehicle uptake from 60% to 80%. This mix also achieves the Green Deal target, but in a different way, highlighting what else would need to be done to achieve the target if 100% electric vehicle take-up was not achievable. This demonstrates that there can be more than one different pathway to achieve the carbon reduction target, each with a different emphasis in the mix of avoid:shift:improve strategies.



Figure 6: Waterfall chart showing estimated % carbon reduction in 2050 (compared to 1990 levels) likely to be delivered by each policy strategy with alternative adjusted user inputs

So, users can experiment with changes in the input parameters to understand the levels of uptake/use/improvement associated with different policy strategies (avoid, shift, improve) that will achieve the GHG emission reduction targets. This approach is based on the principles of vision and validate back-casting and can be undertaken to obtain possible different pathways to achieve the carbon reduction target, each with a different emphasis in the mix of avoid:shift:improve strategies.

3.2 Worksheet 2: Stress Test Policy Mix

Worksheet 2 in the Carbon Policy Analysis Support Tool involves stress testing the possible policy mix (established in Worksheet 1) to ensure the policy mix is robust/resilient in the face of alternative futures related to key exogenous factors and trends. If the stress testing reveals the policy mix to be weak in the face of plausible alternative futures, then, if possible, the policy mix inputs should be adjusted in Worksheet 1 to strengthen resilience to change, or the policy mix should be eliminated.

This worksheet allows the user to 'stress test' the effects of moderate to extreme changes in the following factors (see Figure 7) on the carbon impacts of the policy mix. A slider bar is provided for each factor allowing the impact from changes in the levels of each factor to be explored.

Change i	n Population			_	Input: population change 2020 to 2050	0%
Lower	<		>	Higher	Stress test: population change 2020 to 2050	5.0%
Speed of	Renewables Transition				Input: renewables transition end year	2050
Slower	<		>	Faster	Stress test: renewables transition end year	2050
Speed of	electric vehicles Transitior	ı			Input: electric vehicles uptake end year	2050
Slower	<		>	Faster	Stress test: electric vehicles uptake end year	2050
Speed of	societal change to digital	access		-	Input: societal change to digital access (full effect)	2042
Slower	<		>	Faster	Stress test: digital access end year (full effect)	2043
					Input: electric vehicles uptake start year	2023
Cost of p	etrol / diesel				Stress test: electric vehicles uptake start year	2023
Lower	<		>	Higher		
					Input: shift from car to alternative modes	20%
					Otress test: shift from car to alternative modes (adjustment factor-applied only to petrol/diesel cars)	0%
					Input: electric vehicles uptake start year	2023
Cost of e	ectricity				Stress test: electric vehicles uptake start year	2023
Lower	<		>	Higher	Input: shift from car to alternative moder	20%
					Stress test: shift from car to alternative modes	0%
					(adjustment factor - applied only to electric cars)	0/8

Figure 7: Stress Testing inputs

Changes in the factors have either a direct or indirect impact on one or more of the policy strategy inputs. In some cases, it is a direct change to an input value, such as change in population 2020 to 2050, or a direct change in the year by which a strategy takes full effect (e.g., faster or slower renewables transition). For factors relating to changes in cost of petrol/diesel or cost of electricity

the impacts are more complex. For instance, increasing cost of petrol/diesel is likely bring forward the uptake of electric vehicles as the increased operating savings of electric relative to petrol/diesel begin to outweigh the higher purchase cost for electric vehicles. At the same time, higher cost of petrol/diesel will also likely increase the shift from car drivers to alternative modes. Suitable adjustment factors are applied by the tool based on cross price elasticity of demand data related to fuel costs and PT use.

Figure 8 illustrates the Tool outputs relating to Step 4. Using the slider bars, the user can explore the effects of different futures. The diagrams to the right of the slider bars illustrate the impact of the different futures. These present the waterfall diagrams (for 2050 and 2030) relating to the future scenarios. This allows the user to view the robustness of their policy strategy selections to changes in key factors that reflect different possible futures.

In addition to the waterfall diagrams showing the carbon reduction estimates for specific points in time (i.e., 2030 and 2050), another two outputs are produced. The top right diagram (see also Figure 9) illustrates the year-to-year evolution of carbon reduction between 2020 and 2050 for each strategy, given the input selections combined with the stress testing factor adjustments.

The bottom right diagram (see also Figure 10) illustrates the cumulative carbon emissions from 2020 up to 2050.



Figure 8: Stress Testing worksheet outputs overview

3.3 Worksheet 3: Adjust Policy Timings

The third worksheet allows the user to visualise the effects of their policy stratgy choices (levels of uptake/improvement and timings of implementation) on carbon reduction over time between 2020 and 2050.

The dashed line in Figure 9 shows the necessary reduction in carbon for each year to remain on target to achieve the 'Fit for 55' target by 2030 and Green Deal target of 90% reduction in GHG emissions by 2050 compared to 1990 levels. If the dashed line is not reached by the policy strategy selections, then the user is offered the possibility to adjust the implementation timings for the various policy strategies. This allows the user to understand not only the level of uptake/improvement for the policy strategy, but also the timings for when the strategy should commence and when it is required to take full effect.





Figure 9: Evolution of carbon reduction between 2020 and 2050 for each strategy

Figure 10: Cumulative carbon emissions from 2020 up to 2050 for the selected policy mix inputs



The blue line on the chart in Figure 10 represents the cumulative emissions estimated for the selected policy mix inputs. The red dashed line reflects the do-nothing scenario and shows cumulative emissions if no improvements were made compared to 2020 rates of emissions (i.e., emissions remain at 2020 levels until 2050 and are only affected by changes in population). The green dashed line reflects the cumulative emissions limit if global temperatures are to remain within a 1.5°C temperature rise (i.e., achieving the 'Fit for 55' target by 2030 and Green Deal target of 90% reduction in GHG emissions by 2050 compared to 1990 levels). In this chart the cumulative emissions are indexed to the 2020 values of emissions as a % of 1990 levels. For the example in Figure 10, we see that by 2050 the selected policy mix is estimated to produce cumulative emissions of around 13.5 times the 2020 emissions while the do-nothing scenario would have resulted in 30 times the 2020 emissions.

These two diagrams (Fig 9 and Fig 10) viewed together can be helpful in ascertaining the optimal timings of policy strategy delivery to check that intermediate targets and milestones are being met and that cumulative emissions are within the prescribed targets needed to limit temperature rises to 1.5°C. The information in these charts can highlight the need to bring forward the commencement of a particular strategy, or the need for it to take full effect sooner. For instance, if the waterfall diagram for 2050 indicated that the Green Deal target will be met, but the blue line in Fig 10 is above the green dashed line at 2050, then there is a need for some strategies to be brought forward in time for their impacts to be delivered sooner. If this is the case, then users should adjust the timings for strategy implementation within this third worksheet.

This information helps establish the timings for policy delivery needed to meet the expected targets up to and including 2050.

3.4 Worksheet 4: Impacts on other objectives

The next step in the Carbon Policy Analysis Support Tool is to consider the impact of carbon focussed avoid, shift and improve policy strategies on the range of other mobility objectives that may be included in a cities vision to ensure the selected policy mix is **Rounded** and not simply carbon focussed. A simple assessment framework is provided in Worksheet 4 allowing the user to select between positive (+1), neutral (0) or negative (-1) impact ratings. Note that when making a judgement on the impact that each strategy can have on a particular objective, it is useful to consider the geographic location and scale within the FUA that the strategy would take effect. It is also important to think about the impact of the strategy on different groups of the population identifying those that may be adversely affected and considering the ways in which they can be protected or shielded from potentially negative effects to ensure just transitions for all.

The Worksheet 4 assessment should be undertaken within a workshop involving the core and steering group teams. This qualitative assessment can take account of spatial variation within the FUA highlighting the need for, or inevitability of, more contribution from one policy area and less in another (e.g. more avoid and less improve in dense urban areas of the FUA and vice versa in more rural areas). Adjustments to the policy mix including spatial variations to be established.

The assessment framework provided in Worksheet 4 identified eight pre-defined objectives, indicated in Cells D10 to D17. If the pre-defined objectives align with those in the city vision, then the user can tick the relevant checkboxes in Cells C10 to C17. Default values for impact assessment of Avoid, Shift and Improve strategies against each of these objectives are provided (see Figure 11).

The user can choose to apply these defaults for any predefined objective by ticking the relevant checkbox in Cells E10 to E17. If the user has additional objectives (not in the pre-defined list) then they can add these to Cells D41, D42, D43 and provide their own impact assessment related to these in Cells F41 to M43

	Policy strategy									
		AVO	D strategies		SHIFT strategies	IMPROVE strategies				
DEFAULT VALUES	Avoid the need to travel through increases in working from home	Avoid the need to travel through increase in personal business trips (e.g. banking, health) that are digitised or become telephone consultation	Avoid the need to travel through increase in shopping delivered to the home	Avoid the need to travel so far through spatial land use planning: increase of trips for shopping, leisure and education localised within a 15 minute walk from home	Shift from car driver mode share to alternative modes: for journeys under 3km promotion of shift from car to walk and cycle, for journeys between 3km and 8km promotion of shift from car to cycle and PT, for journeys over 8km promotion of shift from car to PT and carpool.	Improve ICE fuel efficiency of conventional cars on the road	Improve electric battery efficiency	Improve electric vehicle takeup		
Reduce congestion	ŵ	ŵ	Ŷ	企	ŵ	⇒	₽	⇒		
Imrpove air quality	ŵ	ŵ	ŵ	ŵ	ŵ	ŵ	₽	ŵ		
Increase safety	Ŷ	Ŷ	₽	ŵ	Ð	⇒	₽	₽		
Enhance accessibility	ŵ	Ŷ	Ŷ	ŵ	ŵ	⇒	₽	•		
Support economic growth	⇒	⇒	4	ŵ	Ŷ	⇒	⇒	⇒		
Meet new housing demand	ŵ	⇒	⇒	ŵ	ŵ	⇒	₽	⇒		
Enhance health and wellbeing	⇒	⇒	⇒	ŵ	ŵ	⇒	₽	⇒		
Promote equity and social inclusion	Ð	Ð	Ð	ŵ	Ŷ	Ð	Ð	4		

Figure 11: Default values for impact assessment of Avoid, Shift, Improve strategies against other city objectives [positive (green), neutral (yellow) or negative (red) impact ratings]

If the user may prefer to make their own impact assessment rather than use the default values provided for a particular objective. If this is the case, then they should leave the relevant checkbox in Cells E10 to E17 unticked and then provide their own impact assessment in rows 32 to 43. When making their assessment the user can consult the notes on "things to consider" provided in the adjacent table to the right (reproduced in Table ?? below).



Example: User has selected to specify their own impact assessment for 3 objectives ('Increase safety', 'Meet new housing demand', 'Promote equity and social inclusion'). The user then enters their own assessment of the Avoid, Shift, Improve strategies against these objectives to rows 34, 37, and 39. When making their assessment the user can consult the notes on "things to consider" provided in the adjacent table to the right on the Excel worksheet (and reproduced in Table 1 below).



The intention of this assessment is to flag where particular strategies are likely to have an overall negative impact on any other objective. Where this is the case, then within the policy assessment workshop (see Figure 3; Step 3 of the Transition Pathway) cities need to consider how the negative impacts can be mitigated or avoided through regulatory or fiscal interventions (e.g., protections or subsidies for particular groups that are adversely affected). If this mitigation is not possible, then the policy mix selected in Worksheet 1 of the Carbon Policy Analysis Support Tool should be reviewed and where possible adjusted to remove or at least reduce the scale of choices that are likely to cause intractable negative impacts on another objective.

The final output from the use of the Carbon Policy Analysis Support Tool is a preferred policy mix for achieving long-term and intermediate carbon reduction targets up to and including 2050. This preferred policy mix includes defined levels of uptake/improvement and timings for implementation of the avoid, shift, improve strategies within this mix.

The next step in the Transition Pathway development is to then assess the preferred policy mix (output from use of the Carbon Policy Analysis Support Tool in combination with the TP Step 3 Workshop) to ensure that the policy selections are realistic and realisable in the context of the existing governance capacities and the achievable governance reforms that would be required to deliver the new policy. With core and steering TP team, an 'enabling actions' workshop is held (see Section 1.1 and Figure 3) to map the governance reform necessary to be able to deliver the identified policies effectively at different timeframes.

rubic 1. ming	s to consider when assessing i							
Some things to consider		AVOID	strategy	1	SHIFT strategy		INIPROVES	trategy
when assessing the impact of Avoid, Shift, Improve strategies on other objectives.	Avoid the need to travel through increases in working from home	Avoid the need to travel through increase in personal business trips (e.g. banking, health) that are digitised or become telephone consultation	Avoid the need to travel through increase in shopping delivered to the home	Avoid the need to travel so far through spatial land use planning: increase of trips for shopping, leisure and education localised within a 15 minute walk from home	Shift from car driver mode share to alternative modes: for journeys under 3km promotion of shift from car to walk and cycle; for journeys between 3km and 8km promotion of shift from car to cycle and PT; for journeys over 8km promotion of shift from car to PT and carpool.	Improve ICE fuel efficiency of conventional cars on the road	Improve electric battery efficiency	Improve electric vehicle takeup
Reduce congestion	As commuter car trips are avoided through increased working from home, peak hour congestion is reduced. The higher car mode share for commuter trips, the higher the potential for congestion reduction.	Removing the need to travel reduces trips. How much this reduces congestion depends on level of car use for the trips avoided and the congestion needs the time of days and in the locations where the trips were previously made.	Removing the need to travel for shopping reduces the number of cars on the road, how much this reduces compession depends on hevel of cars use for the trips avoided and the congestion levels at the time of day where car trips have been removed from. Nowe deliveries does generate trips by delivery vehicles. The extent to which this contributes to congestion depends on the level of linked deliveries that can be achieved and the underlying congestion levels at the time of day the deliveries are made.	The more daily amenities and services that can be provided within walking distance from home, the less is the need for car trips. The extent to which this combutes to congestion relief depends on the undrying congestion less at the time of all and in the boations the previous car trips are removed from.	while model for short journey from car trips to walk and cycle may bene significant impacts in city certers where congestions likely to be wordt. In focal suburban neighbourbods congestion is test likely to be in scare except at particular times of short of any matricular bosonies (e.g. around schook at start and erd of school day), switching short trips ductation related trips to walk and cycle will relative local congestion but will have limited impact city wide. Removing cas from the network for medium length journeys through short witching to cycle or TC an alleviate congestion on main roads at the busiest times. Shifting from at the TD or congooing for longe journeys is likely to bave most impact on congestion along main arterial corridors into city centres during pack hours.	No significant impact on reducing congestion. Lower fuel consumption may encourage slightly more car use.	No impact on reducing congestion.	No significant impact on reducing congestion. Lower fuel costs may encourage slightly more car use.
Imrpove air quality	Avoided commuter car trips result in less NOx and Particulate (PM) emissions and improved air quality. The higher car mode share for commuter trips, the higher the potential for air quality improvements. As electric vehicle uptake increases there is less net benefit to improved air quality from avoided trips.	Avoided trips result in less NOx and Particulate (PM) emissions and improved air quality. The higher car mode share for personal business trips, the higher the potential for air quality improvements. As electric vehicle uptake increases there is less net benefit to improved air quality from avoided trips.	Avoided can tripp for shopping result in less NO and heriticates (not ensure that and improve rest and and). The particular (not ensure that and improve rest and and). The particular is a straight improvements. A celectric which uptake increases there is less net benefit to improve air quality from avoided trips. Some of the air quality benefit from removing can trips will be eroided by the delivery which is trips that register than . To minimise the negative impact on air quality of delivery vehicles these should use clean fuels.	Avoided car trips or reducing the distance of car trips result in tess NO and Particulate (PM) emissions and improved air quality. The higher the car mode share for daily trips to shopping, leivare, education, the higher the potential for air quality improvements. A many of these activities are cented the air quality benefits from avoiding these trips are likely to the daily daily benefits from avoiding these trips are the set benefit to improved air quality from avoided trips.	Switching from car to walk and cycle has positive impacts for air quality. Switching from car to PT is also likely to result in positive impacts where clean engine technology is utilised on PT vehicles. Where old diede regime bases still operate improvements in an quality where old diede regime bases still operate improvements in a quality and reserve the start sector which up update increases within the provide and freet there is less set benefit to improved air quality from workled trips.	Slight improvement in air quality where fuel efficiency improvements also include improvements in NOX and Particulate (PM) emissions.	Neutral - improvements accounted for in initial electric vehicle take-up.	Strong positive impact - Electric cars have zero talipipe emissions which means a 100% reduction of NOx and Particulate (PM) emissions compared to conventionial enables in the local area. Noveever Enhand' sources which are type, brake, clutch and road surface wear.
Increase safety	The more car trips removed from the network the lower the risk of accidents involving cars.	The more car trips removed from the network the lower the risk of accidents involving cars.	In general, the more car trips removed from the network the lower the risk of accidents involving cars. Nooveer, the increased presence of larger debuy vehicles may result in additional safety risk for vulnerable road users in residential neighbourhoods.	Ingeneral, the more car kms removed from the network the lower the risk of accidents involving cars. Realcring car presence around schools will be expectally beneficial for increasing safety. Encouraging more walk and cycle trips ratio than car use will improve safety as long as well disigned airstructure and triff management protecting these users from cars is in place.	In general, the more car kms removed from the network the lower the risk of accidents involving cars. However, the increased presence of trager public transport whicles that frequently slop and start may result in additional safety risk for cyclists where shared bus and cycle mere seist. Additional, where there is increased interaction between cars and pedestrians or cyclists, there is heightened risk of accidents. Well designed instructure and traffic management protecting these users from cars is needed.	No impact on increasing safety.	No impact on increasing safety.	No impact on increasing safety.
Enhance accessibility	Increased working from home removes physical limits on accessing workplaces. This means more people have the opportunity to access more jobs. Broadband infrastructure and speed may limit access to working from home for those in certain rural areas.	Digital access to personal services removes constraints and barriers to accessing physical locations. This means more people have the opportunity to access services in a more convenient fashion. Broadband infrastructure and speed may limit digital access to personal services for those in certain rural areas.	On-line access to shopping services removes constraints and barriers to accessing physical shop locations. This means more people have the opportunity to access more goods in a more convenient fashion. Broadband infrastructure and geed may limit digital access to shopping services for those in certain rural areas. Availability to receive deliveries at convenient times may limit access to on-line shopping for some.	Bringing the location of daily amenties and services closer to where people live enhances accessibility. For those who are mobility impaired and unable to walk, suitable transport should be provided thought use of mobility scoolers or doon-to-door accessible public transport.	The more cities are designed for waiking, cycling and public transport the more amenities and services become accessible to those without access to cars. Where destinations are too far to waik or cycle expanding the coverage and frequency of the accessible public transport networks in seeded. Where destinations are close then providing site and attractive waik and cycling infrastructure is necessary.	No impact on enhancing accessibility.	No impact on enhancing accessibility.	Electric vehicles are not accessible for everyone due to higher cost of purchase and in some city locations immed facilities for off-treet charging (flats and housing without driveways).
Support economic growth	Research on working from home has revealed that productivity levels tend not to suffer and can increase when homeworking. Time saved by not traveling can be part to more productive purposes. Less cars on the network leads to reduced journey times which has economic benefits, but there will be a drop in fuelt tar revenue associated with less private with hum. Businesses located within centres of employment where office does an exceed and from lower damands as more people with from businesses will reflocate to local neighbourhoods where dayline consumers may have increased as home workers now shop and leisure activities in their local neighbourhood.	The transition to on-line and teleservices, while delivering more efficiencies in costs of provision, is likely to also result in some job loses.	The transition to on-line shoping and home delivery, will likely result in structural changes in the retail sector with less high street and supermarket store locations and more consolidation certes for direct distribution to homes. While this is likely to result in some job loses in stores, there will be more job created in the delivery chain, enter tainment outlets (food and drink, leisure) or developed for housing.	Time saved by not travelling can be put to more productive propress. Less cars on the network leads to reduced journey times which has economic benefits, but there will be a drop in fuelt as revenues associated with less private velv-im. More vitrant and economically prosperus local neighbourhoods may emerge at the cost of a decine in economic output of off- centres. Cut) centres could refocus some activities and services towards visitors and tourism.	Achieving a shift from car to alternative modes and providing priority to these alternative modes reduce; journey times and improves journey time reliability for all road users. Fare revenues for PT will increase, although there will be ad orgon in lotal tax revenues associated with less private veh-km. Access to pib opportunities may be enhanced by for those without access to private acs. less car dominated cities and better connections may support growth in visitors and tourism in the city.	No significant impact.	No significant impact.	While electric cars have a higher initial cost than ICE cars, they are usually more alfordable in the long- term. The relative costs are likely to more strongly favour electric vehicle ownership in the future. This means there is likely to be more disposible income to spend in the wider economy compared to ICE distribution. Electric to the lines with the a displaying that there will be less demand and meet for jobs in the after sales which servicing, mainteance and parts industries.
Meet new housing demand	Increased working from home can disperse the demand for housing away from city centres: where the largest numbers of jobs are physically located. This can ease the demand for new housing in the areas where housing in in short supply and there is no space for new housing. Working from home may create more demand for larger houses with spare rooms/home offices.	Unlikely to have any effect on housing demand.	Unlikely to have significant effect. Redundant store locations may be redeveloped for housing.	Spatial land-use planning centred on mixed use developments that support local living can provide a model for meeting new housing demand. This can include demitication of existing urban areas where good local amenities already exist, while also ensuring new developments have a wide range of local services and amenites which are within walking distance. This could brask the cycle of car dependency and support more sustainable housing development.	Good quality frequent public transport extending out of the main city may support transit oriented developments outside the main urban areas in existing peripheral towns or to new town developments buffere space is available). These new developments should be mixed use, encouraging walk and cycle for local trips and built around mobility hubs providing fast and efficient connections to the main urban areas.	No significant impact.	No significant impact.	No significant impact.
Enhance health and wellbeing	Working from home can give more flexibility in working hours allowing for foissure breaks during the day. It may also allow more healthy testing habits to be developed. Many people find the isolation and lack of social contact when working from home diffuct to adjust to leading to mental helath profers and deterioration in wellbeing. People who previously waiked or cycled to work may find they are less active.	Unlikely to have much effect on health and weilbeing. Provision of telehealth services may increase availability and reduce wait times for initial health consultations which could lead to earlier diagnosis of conditions.	Unlikely to have much effect on health and weilbeing. The act of shopping is seen as cathartic by some but stresdul for others. Bouches objog and others realiens where browsing is important will likely remain as physical outlets. Shopping for more monutien eterna and supple and tables most likely to move on-line. The social aspect to hopping and the physical aspect of walking which shopping is on when shopping on line. This may have small negative consequences on health and wellbeing	This is likely to have a strong positive effect on helath and wellbeing. Local availability of daily amenties and services encourages more waking and cycling and less car trips. When meighourhood communitie enhance facing of belonging and provides social meeting posits for more of society. Less time speet traveling longer datances means more time for social and lesure activities.	Shifting from car to walk for short trips or to cycle for short and medium length trips has obvious health benefits. Shifting from car to public transport for longer journeys also involves some walking to and from PT stops, and more than when using the car. However, lincreased journeys times and long walk associated with poor quality PT services may impact negatively on wellbeing compared to the convenience of using the car.	No significant impact.	No significant impact.	Better air quality associated with electric vehicles compared to ICE vehicles leads to health benefits. This is accounted for in the air quality objective. No other benefits.
Promote equity and social inclusion	Certain vulnerable groups who are less PC literate may be disadvantaged by moves to homeworking. Emloyees without suitable equipment at home or without a quick gasce in which to work (office of spare room may be disadvantaged. Homeworking is advantageous for workers that may have chickcare responsibilities (proportionally more women). Not all jobs are possible to work from home. Research (McKrisey, 2020) dividates that in total caross all sectors there is an estimated effective potential (effective potential includes only activities that can be done remotely without losing effectiveness) for working from home of 33% in the UK, for Germany is 30% and for frame it is 32%. The finance, management, professional services and information sectors were found to have the highest potential for remote work.	Digital and telescrices removes the need to travel and to inequiles associated with the many series of the second secon	On-line shapping and home delivery remove the need to travel and a inequilities succisited with the transport successful and a single anisotic in ability to travel to hopping distillations are no longer an issue. Certain subarreading exposed who are less SC feature or without natilable technology at home may be disadvamaged by movies to digites excess to shopping, export broadbard connections may disadvantage neegle in some areas. Baility to pay for broadband may be a barrier for some Lack of presence at home to receive deliveries or plack of a socure disc bite we deliveries may disadvantage some people and deter them from on-line shopping.	Local availability of daily amenities and services means better access to essential goods, services and activities for more of society. Stronger and more vibrant local somumities can feed more inclusive and caring for isolated and vulnerable members of society.	Designing for walk, cycle and public transport shead of the car has obvious equality and inclusion hendfiel for non-car owners and senerally there will be positive import includes for this objective. However, not everyholdy has equal ability to suik or cycle and so unlittable mobility internatives must ability to suik or cycle and so motes are prioritised. Similarly public transport whiches, infrastructure and operation need to be accessible empleties and set for vulnerable persons and affordable to those on low incomes.	No significant impact.	No significant impact.	The higher cost of electric vehicle purchase is a significant barrier to electric vehicle ownership for many. Subidie aduable be considered to remove this barrier. Lack of access to off-street charging points are limited in number and more expensive to access the instant on higher density housing without driveway parking do not have the same opportunity for electric vehicle charging.

Table 1: Things to consider when assessing impact of Avoid, Shift, Improve strategies on other objectives

3.5 Configuration Settings Worksheet

The configuration settings worksheet allows users to adjust the default values for a number of parameters used in the tool. This allows more locally relevant values to be defined. If the user enters a local value for any of the parameters in the configuration settings sheet then this overrides the defaults applied by the tool.

Setting locally relevant parameter values								
This file allows users to adjust the default values for a number of parameters used in the tool. This allows more locally relevant values to be defined.								
		User should define a local value if they do not wish to use the default value.	If you want to use the default value, make sure the local value cell is empty (delete any values previously entered to the relevant Default Value Units	Company 4 Source				
Base-case CD2 emissions from ICE cars on th	e road All areas		138 gCD2/km	The fuel efficiency of the average car on the road in 2020 in the UK was approx. 138 gCD2/km. The average age of cars on the road was 8.8 years. The average fuel efficiency of new conventional cars in 2020 was 122 gCD2/km (Department for Transport https://www.minbelins.co.uk/average-co2e- car-uk/hoogo). Carbon dioxide emissions per car steadily declined every year between 2001 and 2018, decreasing bu around 27 or Mm each user.				
			100 good Ann	acoreasing by aroana bit gran caorigeat.				
Commuting trip distance as % of total trip distance (within particular area type)	Urban Peri-urbar Rural	1	24% 26% 28%	Default values derived from NationI Travel Survey data analysis on trip distance by trip purpose for England. Table NTS9912 provides data for Rural/Urban class reteriminent/ public activey. % distance by trip purpose				
Personal business trip distance as % of total trip distance (within particular area type)	Urban Peri-urbar Rural	1	7% 8% 10%	5% Sport: participate Visiting friends 5%				
Shopping trip distance as % of total trip distance (within particular area type)	Urban Peri-urbar Rural	1	15% 16% 18%	Viting friends at private here in the second				
Shopping, leisure, education, and personal business trip distance as % of total trip distance (within particular area type)	Urban Peri-urbar Rural	1	50% 45% 40%	Other Escort Escort Education 2%				
% of trips within 1km of home that are walked, cycled or use public transport	All areas		90%	Default value derived from N I 50308 - Average number of trips by trip length and main mode: England 2019				
% of all trips by distance band (within particular area type)	<3km 3 - 8 km V B km <3km 3 - 8 km 9 - 8 km 3 - 8 km 3 - 8 km 8 km		46% 26% 28% 37% 20% 43% 24% 26% 50%	Default values are derived from Table NTS9911 - Average number of trips (trip rates) by trip length, region and Rural-Urban Classification: England, 2018/2019 (Department for Statistics, Nation Travel Survey).				
Average carbon intensity of renewable	All and a		10 -002-4444	Carbon intensity of electricity varies greatly depending on fuel source. As a rough				
Average carbon intensity of fossil fuel	JAII areas			guine coarnes a caroon intensity or about, joung cuark wh, on is 8000 g CU2K Wh, natural gas is around 500 g CO2K Wh, while nuclear, hydro, wind and solar are all less than 50 g CO2K Wh. The carbon intensity of grid electricity is determined by the fuel mix used in generation, e.g. More coal and less use of gas in the grid.				
electricity generation (coal, lignite, oil, gas)	All areas		690 gCD2akWh	electricity mix will result in higher carbon intensity of fossil fuel generation.				

				a born internetty or gind creativity is determine
on intensity of fossil fuel			the fuel mix used in generation.	e.g. More coal and less use of gas in the
ation (coal, lignite, oil, gas)	All areas	69	gCO2ekWh electricity mix will result in high	er carbon intensity of fossil fuel generation